

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. TUC920030091US1
	FILING DATE September 5, 2003	GROUP ART UNIT
	APPLICANT(S): Gregory T. Kishi	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
YC	A1	5,907,672	05/25/1999	Matze et al.	395/182.06	10/04/1995
YC	A2	5,926,834	07/20/1999	Carlson et al.	711/152	05/29/1997
YC	A3	5,963,971	10/05/1999	Fosler et al.	711/114	10/09/1997
YC	A4	6,105,037	08/15/2000	Kishi	707/201	12/12/1997
YC	A5	US 6,269,423	07/31/2001	Kishi	711/113	05/13/1998
YC	A6	6,282,609	08/28/2001	Carlson	711/112	08/17/2000
YC	A7	US 6,339,778	01/15/2002	Kishi	707/200	03/24/2000
YC	A8	US 6,507,883	01/14/2003	Bello et al.	711/4	10/23/2000
YC	A9	2003/0004980	01/02/2003	Kishi et al.	707/204	06/27/2001

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
YC	A10	JP200218252	10/23/00	Japan	G06F3/06		
YC	A11	EP1202159A2	10/19/01	European	G06F3/06		

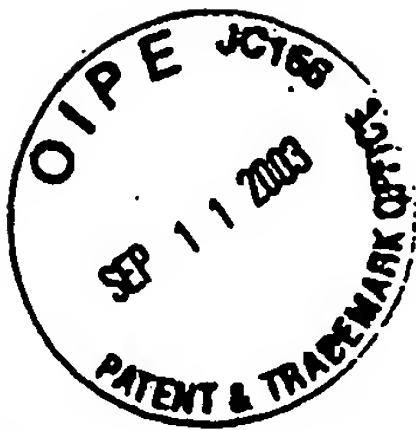
<b>EXAMINER</b> 	<b>DATE CONSIDERED</b> 10/11/05
---	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
YC	A12	High Performance File System For Supercomputing Environment, H. Nishino, S. Naka, K. Ikumi; 1989
YC	A13	The IBM Virtual Tape Server: Making tape controllers more autonomic, G. T. Kishi; IBM J. Res & Dev. Vol 47 No. 4 July 2003

EXAMINER		DATE CONSIDERED	10/11/05
----------	---	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	<b>SERIAL NO.</b> Not yet assigned	<b>ATTORNEY DOCKET NO.</b> TUC920030091US1
	<b>FILING DATE</b> September 5, 2003	<b>GROUP ART UNIT</b>
	<b>APPLICANT(S):</b> Gregory T. Kishi	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

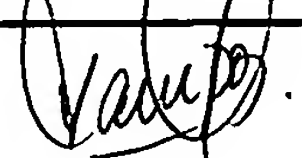
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

**NON-PATENT DOCUMENTS**

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
yl	A1	Virtual Tap Servers A Foundation for Growth, John McArthur, www.idc.com
yl	A2	Autonomic Coumputing, by W. Wayt Gibbs, scia.com, 6/25/2003

<b>EXAMINER</b> 	<b>DATE CONSIDERED</b> 10/11/05
--	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).